

# 1 Influence of Nb and Ta on the Corrosion and Mechanical Properties of CrYN Coatings

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8

## 9 Abstract

10 Barrier coatings are applied to many machine components that are exposed to aggressive/harsh  
11 service conditions to prevent corrosion, oxidation, and wear at high temperatures. These  
12 coatings are widely used to protect structural components of gas/steam turbines in the energy  
13 and aerospace industries against aggressive operating conditions. In this study, Nb and Ta-  
14 doped CrYN films were deposited onto 316L stainless steel (SS) using the CFUBMS (Closed  
15 Field Unbalanced Magnetron Sputtering) technique. Then, the corrosion resistance, and  
16 structural and mechanical properties of the CrYN:Nb/Ta thin films were investigated. SEM,  
17 XPS, EDS, nanoindentation and potentiostat tester were used to determine the structural,  
18 mechanical and corrosion properties of the thin films, respectively. The highest hardness was  
19 found to be 21.4 GPa for CrYN:Nb films and 18.2 GPa for CrYN: Ta films. The results show  
20 that the coated specimens (lowest I<sub>corr</sub> value 3.7 nA obtained in all tests) have higher corrosion  
21 resistance than the uncoated specimens (substrate I<sub>corr</sub> value 1826 nA). In addition, it has been  
22 observed that the corrosion resistance of Ta-doped CrYN thin films is somewhat better than the  
23 niobium-doped thin films.

24 **Keywords:** CrYN:Nb/Ta thin films, PVD, Pulsed-Dc, Corrosion

## 25 1. Introduction

26 Thermal barrier coatings (TBC) are used to protect component(s) and increase service life in  
27 high-temperature applications such as gas/steam turbines, jet engines/blades, and fuel cells.  
28 TBCs are expected to withstand high temperature, high pressure, temperature differences, wear,  
29 complex stress conditions, and corrosion [1]. However, there is no simple coating system that  
30 can meet all these parameters on its own. This has led researchers to produce more complex

1 coating architectural systems [2, 3]. For this reason, complex thermal barrier coatings consisting  
2 of four main components, each with different mechanical, tribological, and chemical properties,  
3 have been developed [4]. The first of these components is the metallic substrate made of  
4 stainless steel or super alloys, which carries the mechanical load [5]. Second; the bond layer  
5 that increases the adhesion and bond strength with the top layer, consisting of MCrAlY (M=Ni,  
6 Co), reduces the stress at the interface by minimising the thermal expansion differences between  
7 the metallic substrate and the ceramic top layer and protects different types of metallic  
8 substrates against oxidation and corrosion as a diffusion barrier. [1, 2, 4, 6-9]. The ideal bond  
9 coat is commonly described in the literature with this structure to ensure that the formation and  
10 growth of thermally grown oxide as  $Al_2O_3$  is slow, uniform and defect-free [1, 10]. Third;  
11 Thermally grown oxide (TGO) layer is formed as a result of oxygen and aluminum diffusion at  
12 the interface between the ceramic top layer and the bond layer as a result of thermal cycles  
13 during operating conditions under the influence of high temperature. TGO formation is  
14 inevitable [1, 10, 11]. The thickness of this layer increases due to oxygen diffusing continuously  
15 from the ceramic layer and aluminum diffusing from the bond layer. Although the thickness of  
16 the TGO layer varies in the range of 1-10  $\mu m$ , a thickness of 6  $\mu m$  and above is undesirable  
17 because it reduces the thermal cycle life of the coating [1, 2]. Studies are being carried out to  
18 control this structure [11]. The last of the TBC components is the ceramic layer that forms the  
19 top layer of the thermal barrier coating system. This layer protects the metallic substrate against  
20 heat by acting as thermal insulation. For this reason, it is defined as the most important part of  
21 the system. Desired properties of the ceramic top layer can be summarized as low thermal  
22 conductivity, high melting temperature, thermal expansion compatibility (metallic  
23 base/metallic bond coat), resistance to phase transformation (from room temperature to service  
24 conditions), good adhesion, high resistance to corrosion, erosion, and oxidation [1, 2, 12]. The  
25 industry's most preferred ceramic top layer is zirconia stabilized with yttrium, produced by a  
26 plasma spray method. However, phase transformation occurs in YSZ (YSZ: yttria-stabilized  
27 zirconia) at temperatures of 1170 °C and above, resulting in separation, delamination, and  
28 damage to the coating [1, 2, 13].

29 As a result of day by day developing technology, gas/steam turbines, aircraft/jet engines and  
30 high temperature components are required to operate under supercritical conditions with  
31 minimal losses [14-16]. This continuously encourages researchers to synthesize alternative  
32 barrier coatings. The aim of the research is to synthesize thin films that can be an alternative to  
33 thermal barrier coatings resistant to high temperature, high pressure, abrasion, corrosion and  
34 oxidation for use in high temperature applications such as jet/aircraft engines, fuel cells,

1 gas/steam turbines. A number of studies show that chromium nitride, chromium yttrium nitride  
2 and chromium aluminum nitride coatings may be suitable for high temperature and high  
3 pressure applications [15, 17-19, 20-22]. CrN coatings have a fine-grained structure, low  
4 internal stress, high hardness and corrosion resistance [23]. CrN films are known to have better  
5 corrosion resistance, high hardness and thermal stability even at high temperatures (up to 700  
6 °C) than TiN thin films [19, 23-27]. Researchers are trying to improve the structural, chemical  
7 and tribological properties by adding elements such as aluminum, yttrium, molybdenum,  
8 niobium, tantalum, etc. to the structure that is inadequate at high temperatures [15, 17, 19, 20-  
9 22, 28]. In a paper by Hovsepian et al., the structure's superior wear and corrosion resistance  
10 was attributed to high hardness Me-nitrides of relatively electrochemically inert elements such  
11 as Cr and Nb [15]. It is well known that oxidation resistance and thermal stability can be  
12 improved by adding yttrium to protective coatings used at high temperatures [25, 30]. In the  
13 literature, it has been reported that by adding Nb (Niobium) to nitride-based coatings, solid  
14 solution formation can be increased, a finer-grained, dense, and homogenous microstructure  
15 can be obtained, thus improving mechanical properties and showing a positive trend for  
16 corrosion resistance [15, 28-31]. The positive effects of tantalum (Ta) additives on corrosion  
17 resistance are already known [28, 32]. Due to their chemical stability in corrosive environments,  
18 CrN films show high resistance to corrosion in Cl<sup>-</sup> solution. The Cr<sub>2</sub>N phase is more resistant  
19 to corrosion than the chromium nitride phase. Bertrand et al. observed in a study that the  
20 intrinsic behavior of Cr<sub>2</sub>N is better than that of CrN in acidic or chloride solutions. It is thought  
21 that corrosion resistance increases in direct proportion to the presence and amount of Cr<sub>2</sub>N  
22 phase in the structure [33-36]. In addition, nitride-based films tend to form thin and hard films  
23 due to both their nature and manufacturing methods.

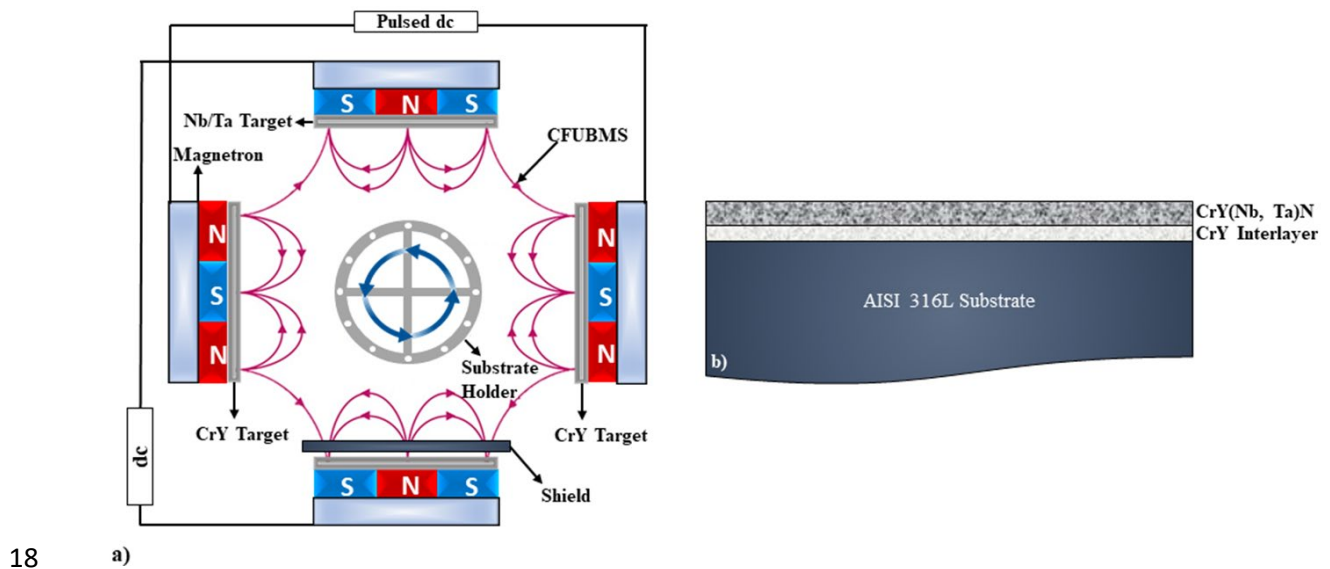
24 In this study hard thin films were deposited by adding Nb and Ta to CrYN films. The synthesis  
25 process was carried out by closed-field unbalanced magnetron sputtering (CFUBMS) and 316  
26 L stainless steel was used as the substrate.

## 27 **2. Materials and Methods**

28 316L stainless steel (SS) material was preferred as the substrate for synthesized CrYN:Nb/Ta  
29 films. The substrates were polished from coarse to fine grain with 400, 600, 800, 1000, and  
30 1200 mesh SiC abrasives, respectively, and the surface roughness was brought to Ra≈0.02 μm.  
31 Before the process, they were washed with acetone + ethyl alcohol in an ultrasonic bath (30  
32 min.) to increase the adhesion to the coating and to passivate the surface. The substrates were

1 then etched in a solution of V2A Etchant (100 ml distilled water, 100 ml HCl and 10 ml nitric  
2 acid).

3 Two (99.95%) CrY targets (atomic percent Cr 97%, Y 3%), one (99.95%) niobium or one  
4 (99.95%) tantalum target were used to synthesize CrYN:Nb/Ta film in the process. The coating  
5 process was performed using the CFUBMS (Closed Field Unbalanced Magnetron Sputtering)  
6 technique, operating in DC or pulsed DC mode. N<sub>2</sub> gas was used as the reactive gas (99.99%),  
7 and Ar gas (99.99%) was used for plasma formation and sputtering of the targets. In order to  
8 increase the adhesion between the substrate and the coating and to remove contaminants from  
9 the substrate, cleaning was performed with Ar<sup>+</sup> ions for 30 minutes at -800 V bias voltage just  
10 before the deposition process. Then, in order to increase the adhesion, a CrY interlayer was  
11 deposited for 10 minutes at 2A target power. A Taguchi L9 orthogonal design was used to  
12 design the coating process experiments; all coating parameters are detailed in Table 1. The  
13 deposition parameters investigated in the array were target current (1, 1.5, and 2 A), deposition  
14 pressure (0.15, 0.25, and 0.35 Pa), pulse frequency (100, 200, and 350 kHz), and duty cycle  
15 (50-70-85 %). Pulsed-Dc (CrY target) and Dc power (Nb and Ta target) supplies were used in  
16 the synthesis process. The architecture of the stored microstructure and a representation of the  
17 Teer Coating Ltd. patented CFUBMS system are both shown schematically in Figure 1.



19 **Fig. 1. a)** Magnetron sputtering system and **b)** Architectural structure of CrYN:Nb/Ta thin films

20 **Table 1.** Deposition Parameters and Taguchi Experimental Design [37]

| The variable Parameters  | Level 1 | Level 2 | Level 3 |
|--------------------------|---------|---------|---------|
| CrY Target Current (A)   | 1       | 1.5     | 2       |
| Deposition Pressure (Pa) | 0.15    | 0.25    | 0.35    |
| Pulse Frequency (kHz)    | 100     | 200     | 350     |
| Duty Cycle (%)           | 50      | 70      | 85      |

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**The Constant Parameters**

|                                 |                         |
|---------------------------------|-------------------------|
| CrY Interlayer                  | CrY: 2A (10 min)        |
| CrY (Nb, Ta)N                   | Nb: 2A, Ta: 2A (90 min) |
| N <sub>2</sub> Flow Rate (sccm) | 6                       |
| Substrate Bias (-V)             | 50                      |

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|           | <b>Pulse Frequency (kHz)</b> | <b>Duty Cycle (%)</b> | <b>Deposition Pressure (Pa)</b> | <b>CrY Target Current (A)</b> |
|-----------|------------------------------|-----------------------|---------------------------------|-------------------------------|
| <b>R1</b> | 100                          | 50                    | 0.15                            | 1                             |
| <b>R2</b> | 100                          | 70                    | 0.25                            | 1.5                           |
| <b>R3</b> | 100                          | 85                    | 0.35                            | 2                             |
| <b>R4</b> | 200                          | 50                    | 0.25                            | 2                             |
| <b>R5</b> | 200                          | 70                    | 0.35                            | 1                             |
| <b>R6</b> | 200                          | 85                    | 0.15                            | 1.5                           |
| <b>R7</b> | 350                          | 50                    | 0.35                            | 1.5                           |
| <b>R8</b> | 350                          | 70                    | 0.25                            | 2                             |
| <b>R9</b> | 350                          | 85                    | 0.15                            | 1                             |

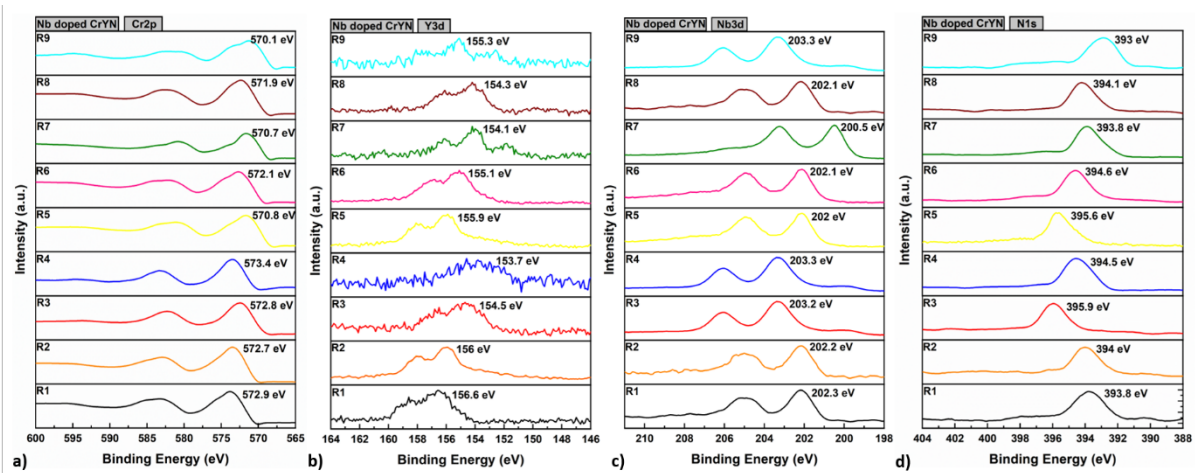
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2 The nanohardness analysis of the thin films was performed using a nanoindentation tester  
3 manufactured by Anton Paar. Maximum load: 3.00 mN, Loading rate: 6.00 mN/min was  
4 applied for 10 seconds using a Berkovich indenter. X-ray diffraction (XRD) was performed  
5 with a Cu-K $\alpha$  radiation source in a Rigaku 2000 D max diffractometer over the range of 20°  
6 and 90° 2 theta and 2°/min scan rate. A Zeiss Sigma 300 scanning electron microscope (SEM)  
7 was used for examination of the microstructure and surface morphology of the coatings, and a  
8 XPS (X-Ray Photoelectron Spectroscopy) and energy dispersive spectroscopy (EDS) device  
9 was used for elemental analysis. Electrochemical polarization experiments for corrosion were  
10 carried out using a computer-controlled Versastat3 Potentiostat device. Three-electrode cell  
11 configurations were preferred for polarization measurements. Corrosion tests were performed  
12 in 3.5% NaCl solution. The electrochemical cell consists of a working electrode (WE), a  
13 standard Ag/AgCl reference electrode (RE) and a graphite counter electrode (CE), and coated  
14 CrYN:Nb/Ta samples. The scanning rate was determined as 0.5 mV/s in the corrosion  
15 experiments. After the corrosion tests, the surface images of the samples showing the best and  
16 worst corrosion potential according to the results were examined by SEM (FEI Quanta FEG-  
17 450 SEM-EDS), followed by EDS analysis.

**18 3. Results and discussions**

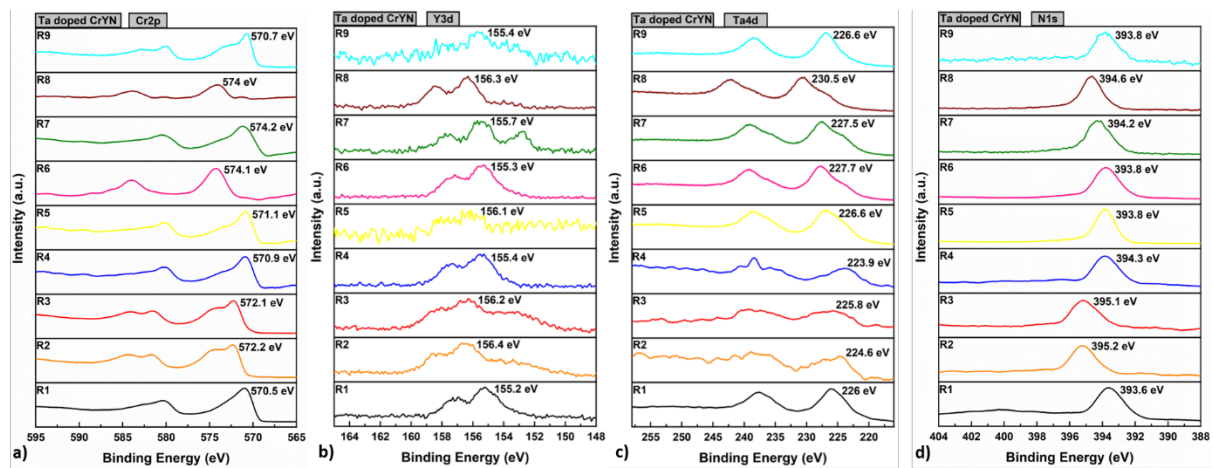
19 XPS was used to determine the chemical composition of the CrYN:Nb/Ta thin films. XPS  
20 provides information about the chemical states and binding energies of the elements in the

1 resulting structure, and the resulting spectra indicate the binding energies of photoelectrons.  
 2 Shifts in binding energies indicate changes in the physical and chemical properties of the  
 3 structure. The cumulative curves of the XPS spectra of chromium (Cr2p), yttrium (Y3d),  
 4 nitrogen (N1s) and niobium (Nb3d) for the CrYN:Nb thin films are plotted using the Gaussian  
 5 method and given in Figure 2. Cr2p<sub>3/2</sub>, Y3d<sub>5/2</sub>, Nb3d<sub>5/2</sub> and N1s peaks can be observed in the  
 6 graphs (Figure 2). The binding energies of the peaks were determined as 570 ± 2, 150 ± 6, 200  
 7 ± 3 and 393 ± 3 eV, respectively



8  
 9 **Fig. 2.** CrYN:Nb thin films, a) Chromium (Cr2p), b) Yttrium (Y3d), c) Niobium (Nb3d), d)  
 10 Nitrogen (Nb1s) XPS spectra

11 XPS analysis was performed for all experiments from R1 to R9 for the CrYN:Ta films. XPS  
 12 spectra of chromium (Cr2p), yttrium (Y3d), nitrogen (N1s) and tantalum (Ta4d) are given in  
 13 Figure 3.



14  
 15 **Fig. 3.** CrYN:Ta thin films, a) Chromium (Cr2p), b) Yttrium (Y3d), c) Tantalum (Ta4d), d)  
 16 Nitrogen (N1s) XPS spectra

17 When CrYN:Ta thin films were examined, Ta4d<sub>5/2</sub> peaks were found and observed to have a  
 18 binding energy of 220 ± 7 eV (Figure 3c). Similar results were obtained for Cr, Y, and N in

1 CrYN:Nb thin films. When the Cr, Y, Nb, Ta and N peaks were analyzed, it was observed that  
 2 some of them had significant shifts in their binding energies. The details that Cr2p and N1s  
 3 binding energies decrease with the increase in nitrogen content is available in the literature [38,  
 4 39, 40]. These bonds present in our research could indicate CrN, Cr<sub>2</sub>N, NbN or TaN.

5 When the nanohardness values of niobium and tantalum doped CrYN films were investigated,  
 6 it was observed that the highest hardness values were 21.4 GPa and 18.2 GPa, respectively.  
 7 Typical nanoindentation load-depth curves and nanohardness results for CrYN:Nb/Ta thin  
 8 films are given in Figure 4.

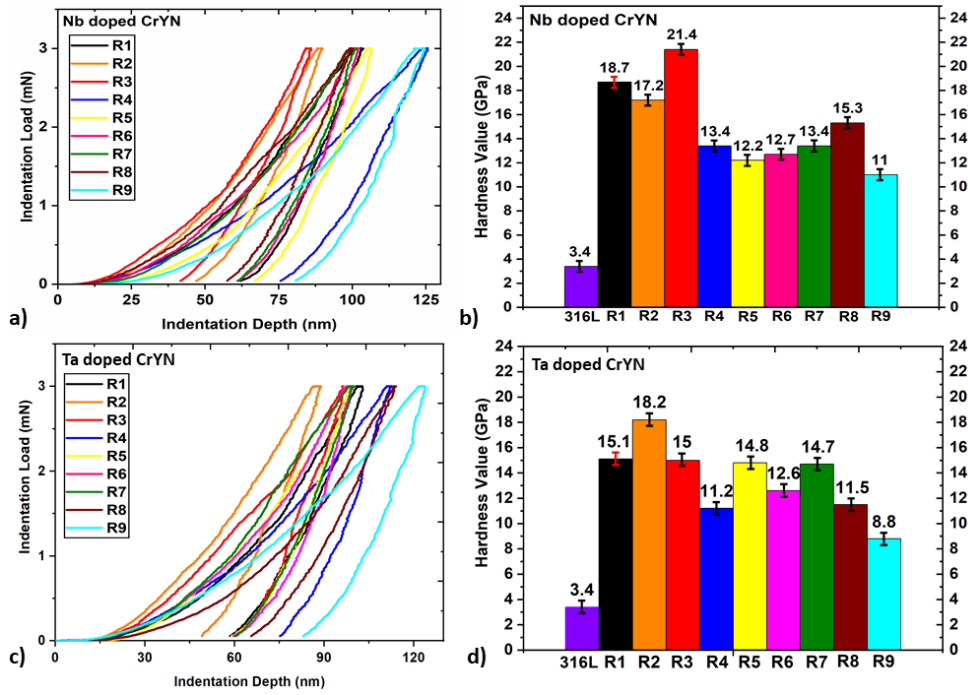
9 **Table 2.** Elemental composition of Nb doped CrYN thin films

|              |           | <b>R1</b> | <b>R2</b> | <b>R3</b> | <b>R4</b> | <b>R5</b> | <b>R6</b> | <b>R7</b> | <b>R8</b> | <b>R9</b> |
|--------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <b>at. %</b> | <b>Cr</b> | 44.09     | 34.64     | 73.33     | 56.21     | 41.54     | 57.97     | 39.9      | 55.29     | 31.25     |
|              | <b>Y</b>  | 0.99      | 1.21      | 1.56      | 0.93      | 0.88      | 1.03      | 0.75      | 0.76      | 0.61      |
|              | <b>N</b>  | 38.39     | 34.64     | 20.39     | 29.67     | 42.37     | 29.33     | 43.28     | 28.91     | 50.32     |
|              | <b>Nb</b> | 16.54     | 10.63     | 4.73      | 13.19     | 15.22     | 11.67     | 16.06     | 15.04     | 17.82     |

10 **Table 3.** Elemental composition of Ta doped CrYN thin films

|              |           | <b>R1</b> | <b>R2</b> | <b>R3</b> | <b>R4</b> | <b>R5</b> | <b>R6</b> | <b>R7</b> | <b>R8</b> | <b>R9</b> |
|--------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|
| <b>at. %</b> | <b>Cr</b> | 29.2      | 50.94     | 61.04     | 52.31     | 34.3      | 52.95     | 41.59     | 49.03     | 29.5      |
|              | <b>Y</b>  | 1.47      | 1.36      | 1.56      | 1.16      | 1.42      | 1.3       | 1.15      | 1.42      | 1.55      |
|              | <b>N</b>  | 42.68     | 30.56     | 24.5      | 25.92     | 37.97     | 27.05     | 30.67     | 28.81     | 38.28     |
|              | <b>Ta</b> | 26.65     | 17.13     | 12.9      | 20.61     | 26.32     | 18.71     | 26.59     | 20.74     | 30.68     |

11 EDS results of CrYN:Nb/Ta thin films are given in Tables 3 and 4. When the experimental  
 12 parameters are examined, the highest Cr and Y amounts were obtained in experiments R3, R4  
 13 and R8 with the highest target current. The percentage contents of the targets used in the  
 14 material and method are stated as 97% Cr and 3% Y. The yttrium content of 0.5-1.6% in the  
 15 coating content can be attributed to the low yttrium content in the target. Based on the  
 16 knowledge that the working pressure and N<sub>2</sub>/Ar ratio are inversely related, it can be said that  
 17 the increase in nitrogen content is related to the decrease in pressure.

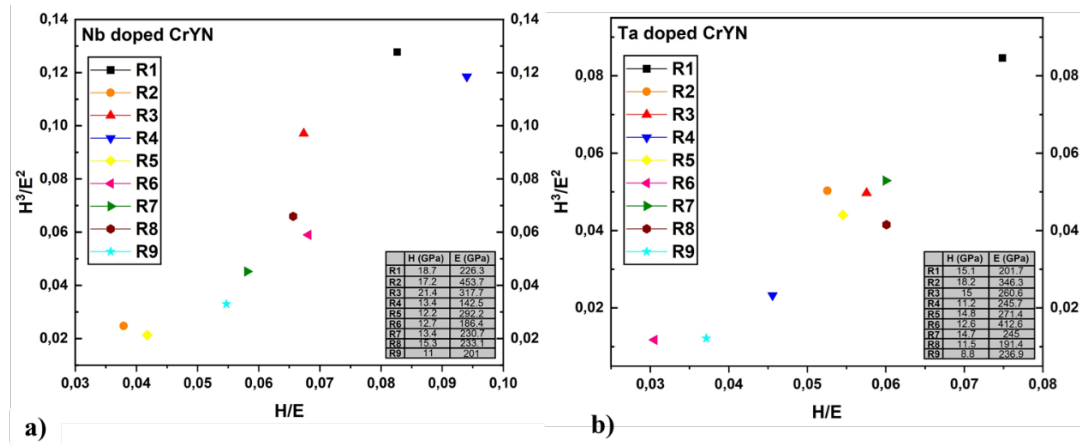


1  
2 **Fig. 4. a-c)** Typical nanoindentation load-depth curves for CrYN:Nb/Ta thin films, **b-d)**  
3 Nanohardness values for CrYN:Nb/Ta thin films

4 When all experimental parameters are examined for both niobium and tantalum doped thin  
5 films, the highest hardness values are observed in R3 (CrY(Nb)N:21.4±0.5 GPa) and R2  
6 (CrY(Ta)N: 18.2±0.5 GPa).

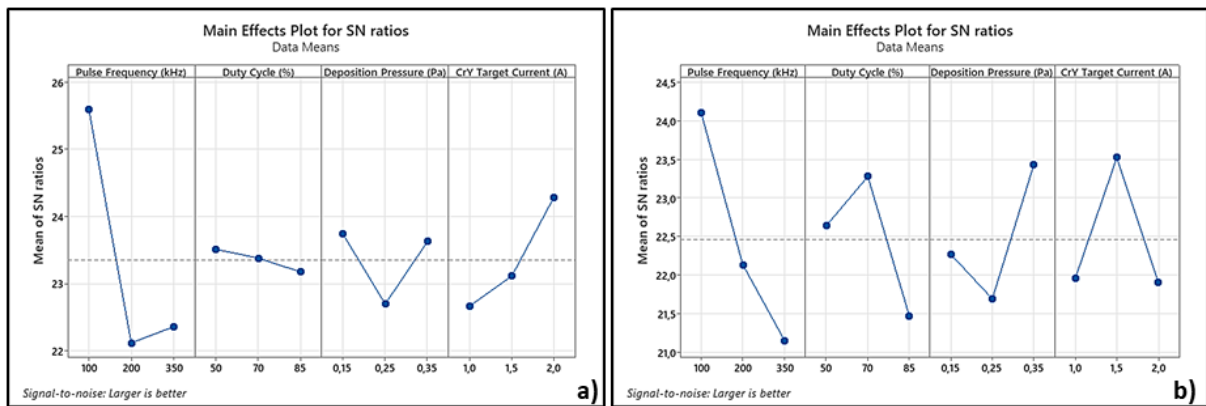
7 In a study by Wu et al., Y was added to CrN films and as a result, it was observed that the  
8 hardness of the coatings increased. It is stated in the study that this improvement can be  
9 attributed to the solid solution effect [23]. In Figure 5,  $H^3/E^2$  and  $H/E$  values are calculated  
10 based on the hardness and elastic modulus values of all samples and the relationship between  
11 the two is given in the graph. The  $H/E$  ratio for niobium doped films ranged from 0.03 to 0.10,  
12 while for tantalum doped films this value was observed between 0.03 and 0.08. The  $H^3/E^2$   
13 distribution ranges from 0.02 to 0.11 and 0.01 to 0.09, respectively. In recent studies in the  
14 literature (last 10 years or so),  $H/E$  and  $H^3/E^2$  ratios have been used to comment on fracture  
15 toughness [41, 42]. Blazek et al. reported the existence of a positive correlation between  $H/E$   
16 (and  $H^3/E^2$ ) and fracture toughness [43].



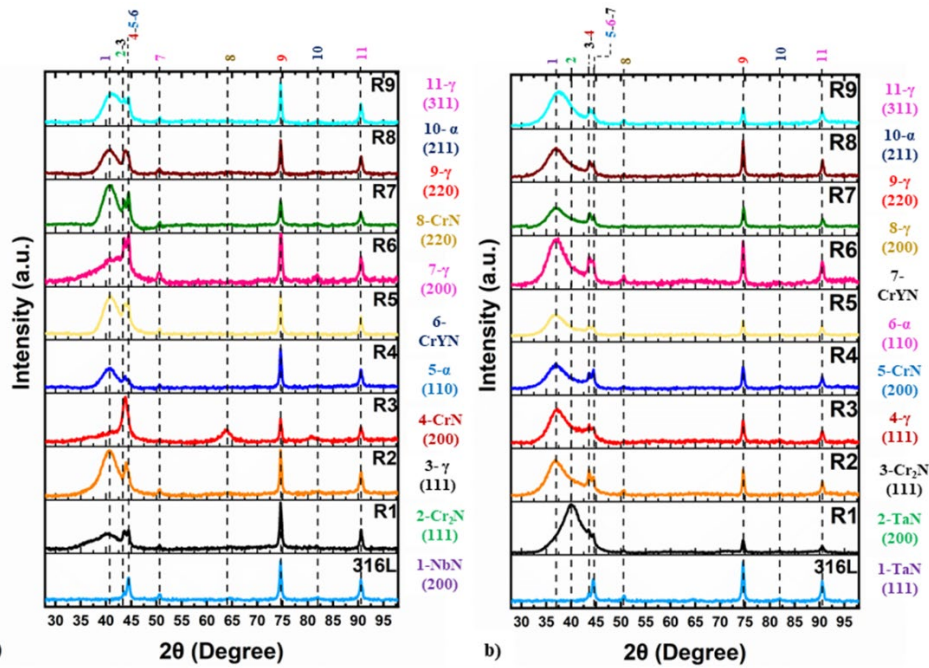


1  
2 **Fig. 5.** Relationship between  $H^3/E^2$  and  $H/E$  for **a)** Nb doped CrYN thin films and **b)** Ta doped  
3 thin films

4 When level averages are analyzed, it is observed that pulse frequency is the most significant  
5 parameter affecting this result for both dopants (Fig. 6). It is known that the addition of Nb  
6 (Niobium) to nitride-based coatings can increase solid solution formation, obtain a finer grained  
7 dense microstructure and thus improve mechanical properties [29, 31, 44]. Similarly, there is  
8 information in the literature that hardness and Young's modulus increase with increasing  
9 tantalum content in nitride-based films [45, 46].

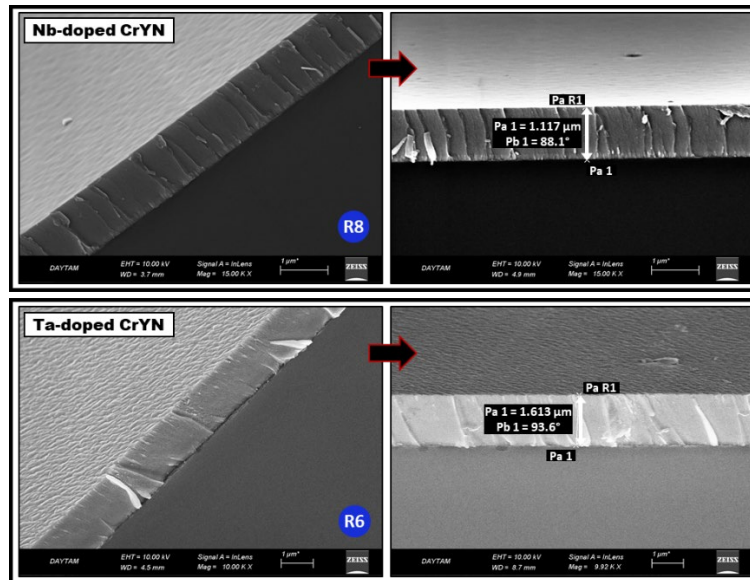


10  
11 **Fig. 6.** Deposition parameters for nano-hardness testing of CrYN coatings level averages; **a)**  
12 Nb doped films, **b)** Ta doped films



1  
2 **Fig. 7.** XRD graph of CrYN thin films; **a)** Nb-doped and **b)** Ta-doped

3 XRD analysis of the Nb/Ta doped thin films deposited onto 316L stainless steel using the  
4 different array variables are presented in Figure 6. When the thin films are examined, apart  
5 from the main peaks coming from the substrate, intensely cubic CrN and Cr<sub>2</sub>N peaks are  
6 observed. In the niobium-doped thin film, NbN and Ta-doped TaN peaks are observed (Fig. 7a  
7 and 7b), respectively. It is known from the literature that the (111) orientation seen in nitride  
8 films containing transition metals is effective on the hardness of the structure [47]. In addition,  
9 it is thought in the literature that yttrium is present in the crystal structure as a substitution atom  
10 of chromium, in this context, when XRD peaks are analyzed, it is indicated that yttrium is  
11 present in the structure as a substituent atom of chromium [48-50]. Both chromium and yttrium  
12 have 3 valence electrons. A shift of angles to the right was observed in the peak with Cr<sub>2</sub>N  
13 phase (Fig. 6). This shift can be attributed to the fact that yttrium, which has a large atomic  
14 radius, expands the chromium cages [50].



**Fig. 8.** Typical cross-sectional SEM micrographs of Nb-doped (R8) and Ta-doped (R6) CrYN thin films

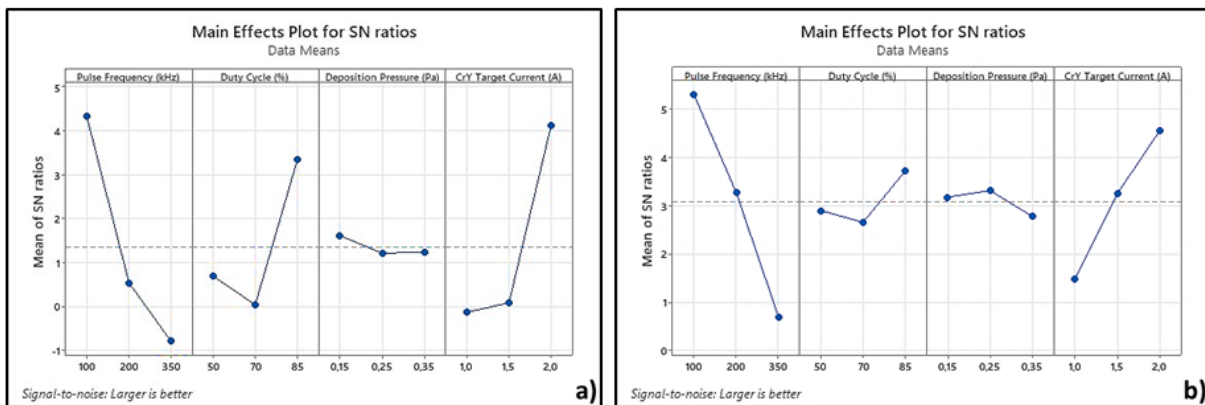
Cross-sectional micrographs of films grown on Si (111) substrates were used to investigate the thickness and surface morphology properties of the nitride-based coatings (Figure 8) and, as can be seen, dense and homogeneous structures were observed in both cases. It is found that the CrY interlayer is approximately 100 nm. The highest thickness value for the niobium doped films was 2.80  $\mu\text{m}$ , obtained for R3 with and the lowest thickness was obtained for R7 at 0.72  $\mu\text{m}$  (Table 4). Similar results were obtained for tantalum deposited films. For CrYN:Ta films, the highest thickness value was observed in R3 with 2.26  $\mu\text{m}$  and the lowest coating thickness was observed in R9 (0.99  $\mu\text{m}$ ).

**Table 4.** CrYN:Nb/Ta film thickness values

| Process No | Thickness ( $\mu\text{m}$ ) |                           |
|------------|-----------------------------|---------------------------|
|            | Nb-CrYN ( $\mu\text{m}$ )   | Ta-CrYN ( $\mu\text{m}$ ) |
| R1         | 1.32                        | 1.51                      |
| R2         | 1.20                        | 1.83                      |
| R3         | 2.80                        | 2.26                      |
| R4         | 1.33                        | 1.73                      |
| R5         | 0.76                        | 1.11                      |
| R6         | 1.19                        | 1.61                      |
| R7         | 0.72                        | 1.04                      |
| R8         | 1.11                        | 1.23                      |
| R9         | 0.95                        | 0.99                      |

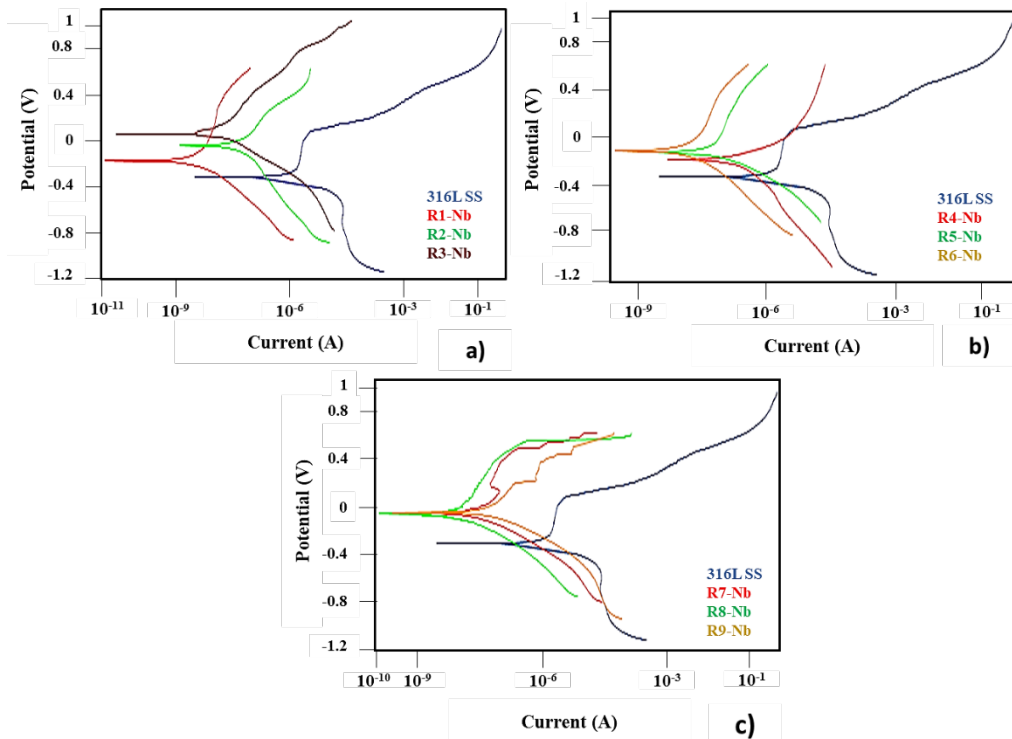
Level averages are given to comment on the most influential of the parameters. It was observed that the most effective variable for the thickness of niobium and tantalum doped chromium yttrium nitride films was pulse frequency and the weakest variable was deposition pressure (Fig. 9). Increasing target atoms sputtered with increasing CrY target current increases the deposition rate [51-53]. The highest thickness values of the CrYN:Nb/Ta films were achieved

1 under R3 experimental conditions at the highest current value of 2A. It is known that increasing  
 2 the pulse frequency decreases the deposition rate [54]. The highest thickness and hardness  
 3 values were obtained at the lowest pulse frequency for both films. Also, the R3 conditions  
 4 included the highest duty factor of 85%, meaning the target was sputtered for the greatest  
 5 proportion of the full pulse cycle for the conditions tested. All these parameters would be  
 6 expected to promote higher deposition rates, compared to the other array conditions. In addition  
 7 to all these, it is known in the literature that yttrium and niobium doping of CrN films will make  
 8 the microstructure denser [48].



9  
 10 **Fig. 9.** Deposition parameters for film thickness of CrYN coatings level averages; **a)** Nb doped  
 11 **films, b)** Ta doped films

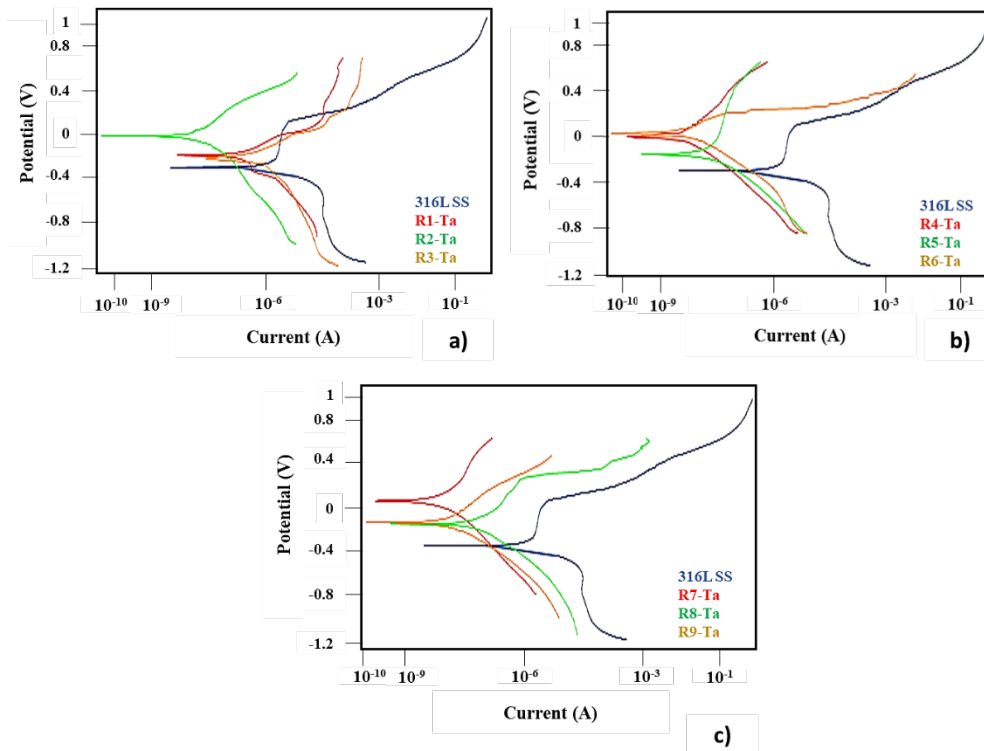
12 Corrosion is a surface phenomenon and the corrosion properties of coatings on materials are  
 13 mainly affected by coating thickness, phase composition and surface morphological properties  
 14 (pores and cracks). The thickness and morphology of coatings appear to play a crucial role in  
 15 the corrosion resistance of coated substrates. In general, coatings with low porosity and higher  
 16 thickness provide better corrosion resistance. Corrosion tests for CrYN:Nb/Ta thin films were  
 17 carried out in 3.5% saline solution. Potentiodynamic polarization curves of 316L substrate,  
 18 niobium and tantalum doped thin films are given in Figures 10 and 11. Similar  
 19 potentiodynamics polarization curves were obtained for both niobium and tantalum doped  
 20 films.  $E_{corr}$  and  $I_{corr}$  values were calculated for all samples using the Tafel analysis method.  
 21  $E_{corr}$  and  $I_{corr}$  values are given in detail in table 3. When the Tafel curve of the substrate is  
 22 analyzed, the presence of a transpassive region is observed. In addition, there is a very small  
 23 transpassive region in R1 of the Nb-doped thin film.



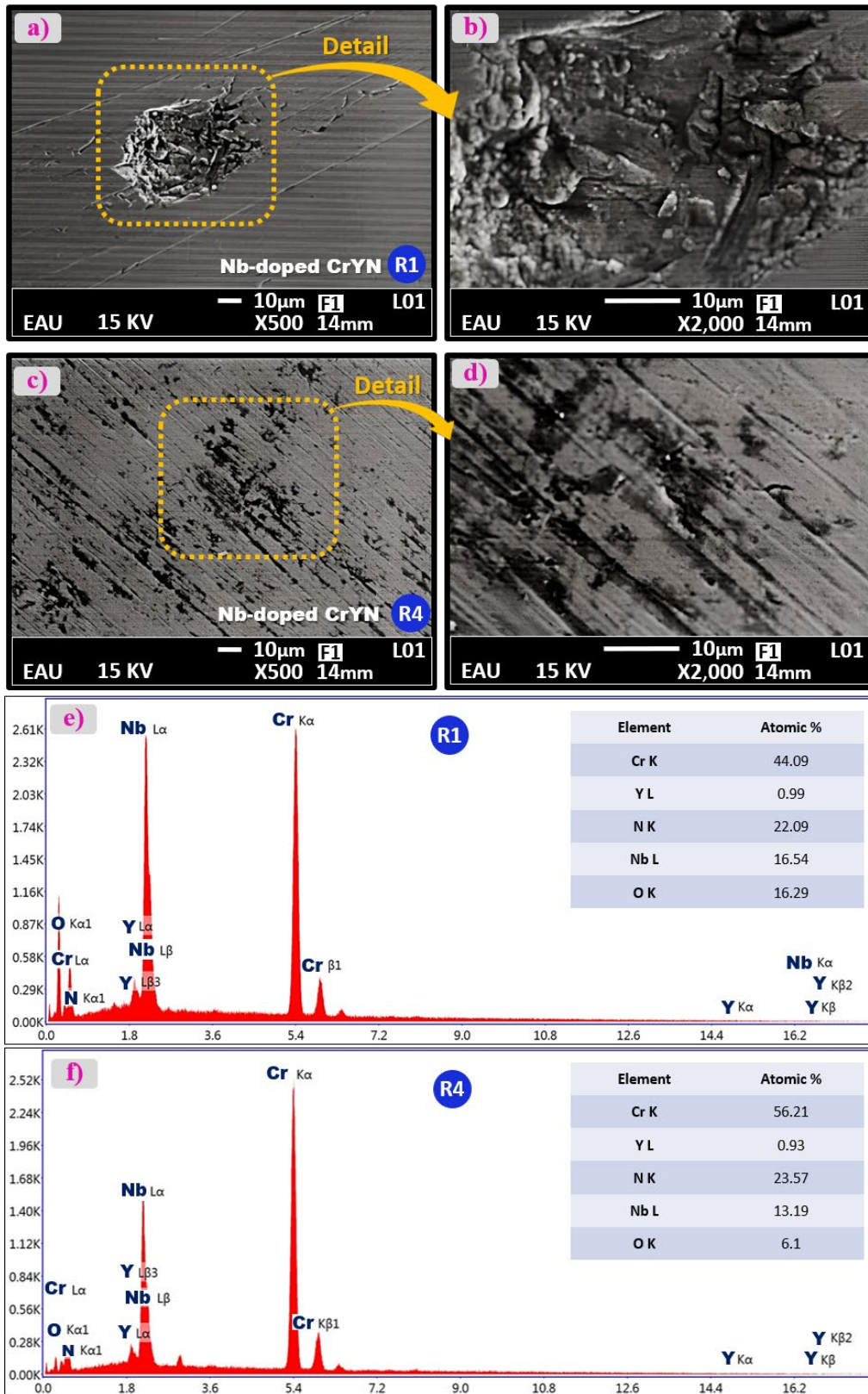
1  
 2 **Fig. 10.** Potentiodynamic curves of the substrate and CrYN:Nb thin films; **a)** R1-R3, **b)** R3-R6,  
 3 **c)** R6-R9  
 4 All coated samples showed higher corrosion resistance than the uncoated substrate.  
 5 Interpretations for corrosion samples were made according to the  $I_{corr}$  values. When the Tafel  
 6 curves of Nb doped thin films are examined, the highest corrosion resistance is observed at R1,  
 7 R3 and R8, respectively (Table 5). According to the same method, the lowest corrosion  
 8 resistance was observed as  $I_{corr}$  622.6 nA at R4. In addition, the increasing trend of  $E_{corr}$   
 9 towards the positive direction indicates an increase in corrosion resistance.

10 **Table 5.** Corrosion parameters from the potentiodynamic polarization curves

|                  | CrYN:Nb         |                 | CrYN:Ta         |                 |
|------------------|-----------------|-----------------|-----------------|-----------------|
|                  | $E_{corr}$ (mV) | $I_{corr}$ (nA) | $E_{corr}$ (mV) | $I_{corr}$ (nA) |
| <b>Substrate</b> | -241            | 1826            | -241            | 1826            |
| <b>R1</b>        | -174            | 4.4             | -199            | 309.1           |
| <b>R2</b>        | -42             | 50.7            | -33             | 17.8            |
| <b>R3</b>        | 69              | 10.9            | -199            | 446.6           |
| <b>R4</b>        | -196            | 622.6           | 14              | 5               |
| <b>R5</b>        | -103            | 37.2            | -155            | 15.4            |
| <b>R6</b>        | -58             | 15              | 29              | 3.7             |
| <b>R7</b>        | -42             | 35              | 95              | 8.3             |
| <b>R8</b>        | -14             | 10.6            | -103            | 60.9            |
| <b>R9</b>        | -51             | 33.3            | -95             | 13.6            |

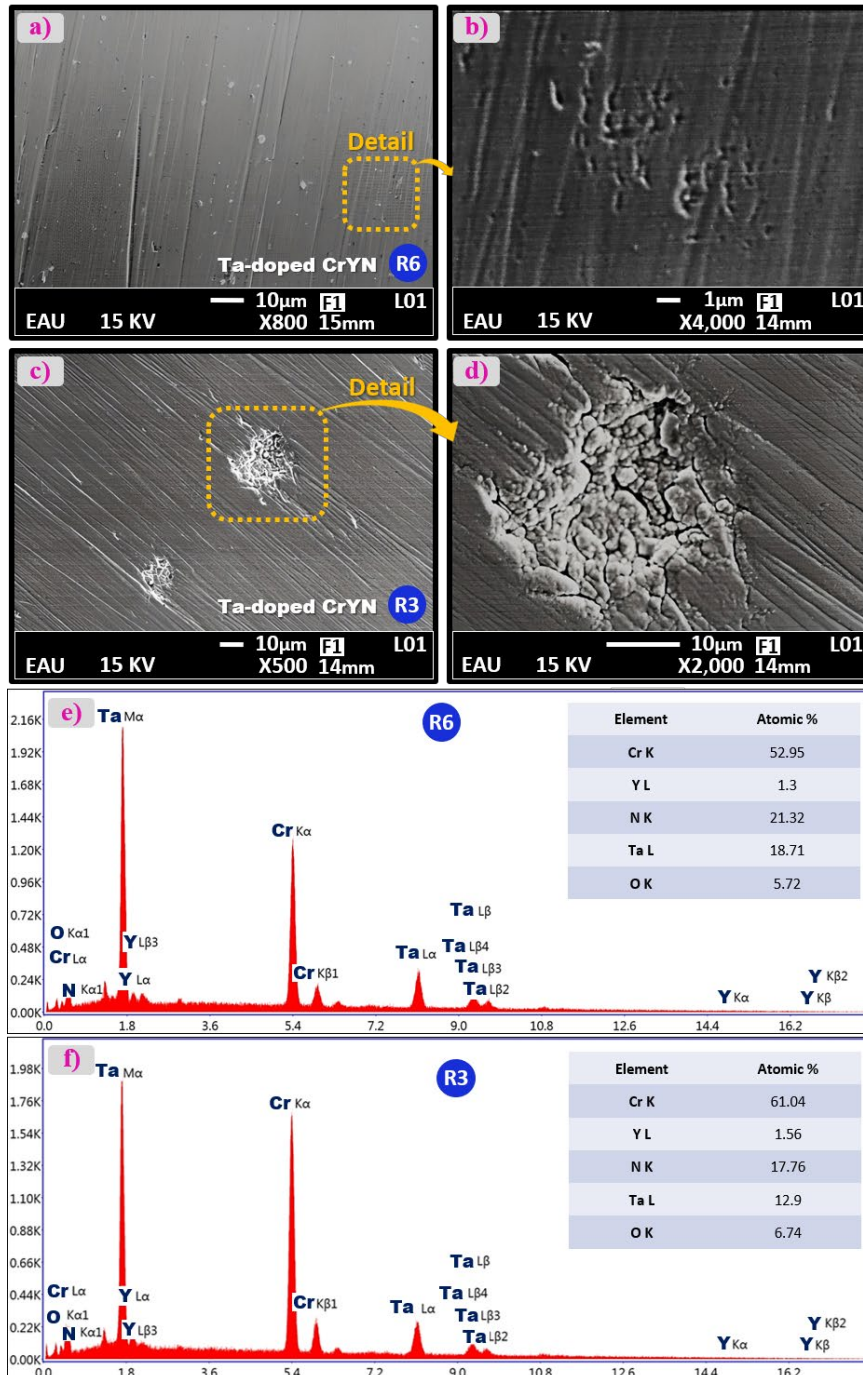


1  
2 **Fig. 11.** Potentiodynamic curves of the substrate and CrYN:Ta thin films; **a)** R1-R3, **b)** R4-R6,  
3 **c)** R7-R9  
4 According to the Tafel curves of the tantalum doped nitride films, the highest corrosion  
5 resistance was found at R6 with 3.7 nA, followed by R4 with 5 nA and R7 with 8.3 nA (Table  
6 5). While the highest  $I_{corr}$  value was 446.6 nA for the tantalum doped thin films, this value was  
7 determined as 622.6 nA for the niobium doped films.



1  
 2 **Fig. 12.** SEM images and EDS analysis of CrYN:Nb thin films after corrosion, **a-b)** 500-2000  
 3 magnification image of the R1-Nb doped film, **c-d)** 500-2000 magnification image of the R4-  
 4 Nb doped film, **e)** EDS analysis of the R1-Nb doped film after corrosion, **f)** EDS analysis of  
 5 R4-Nb doped film after corrosion

1 SEM images of the samples showing the highest and lowest corrosion resistance after corrosion  
 2 for CrYN:Nb/Ta films are given in Figure 12 and Figure 13. When R1, which shows the highest  
 3 corrosion resistance in niobium doped films, is examined, it is observed that pitting corrosion  
 4 occurs on the surface, while in R4, which shows the lowest corrosion resistance, uniform  
 5 corrosion occurs on the entire surface.



6  
 7 **Fig. 13.** SEM images and EDS analysis of CrYN:Ta thin films after corrosion, **a-b)** 800-4000  
 8 magnification image of the R6-Ta doped film, **c-d)** 500-2000 magnification image of the R3-  
 9 Ta doped film, **e)** EDS analysis of the R6-Ta doped film after corrosion, **f)** EDS analysis of R3-  
 10 Ta doped film after corrosion



1 The same kind of results were obtained for tantalum doped films. Pitting corrosion is present in  
2 R6, which shows the highest corrosion resistance, and in R3, which has the weakest corrosion  
3 resistance. After EDS analysis, the presence of oxygen was observed in all four samples. The  
4 atomic percentages of oxygen content for all thin films are as follows: R1 atomic 16.29%, R4  
5 atomic 6.1%, R6 atomic 5.72%, R3 atomic 6.74% (Figure 12e-12f and Figure 13e-13f). It is  
6 known that both niobium and tantalum additives increase corrosion resistance [9, 16, 17], but  
7 it is clear that the corrosion resistance of tantalum is better than niobium in nitride-based films.  
8 Soleimani et al. conducted corrosion tests in artificial seawater environment for CrN/CrAlN  
9 thin films synthesized in PVD and the lowest Icorr value was observed as 0.057  $\mu\text{A}$ . In a similar  
10 study, Man et al. reported the Icorr value of the coating with the highest corrosion resistance as  
11 4.677  $\mu\text{A}$  as a result of corrosion tests applied to CrYN thin films in NaCl solution [55, 56]. In  
12 our research, the Icorr values of the films with the highest corrosion resistance were found to  
13 be 0.0044  $\mu\text{A}$  for niobium doped films and 0.0037  $\mu\text{A}$  for tantalum doped films. This increase  
14 in corrosion resistance compared to other literature can be attributed to Nb and Ta doping. All  
15 coated samples showed higher corrosion resistance than the substrate in a saline water  
16 environment. CrYN:Nb/Ta films have a dense and highly homogeneous microstructure (Fig.  
17 8), which improves corrosion resistance [55].

#### 18 **4. Conclusions**

19 In this study, the mechanical, structural and chemical properties of Nb and Ta doped CrYN  
20 thin films grown on 316L SS substrate were investigated.

- 21 ✓ XPS spectra were performed for CrYN:Nb/Ta thin films. For both thin films, scans were  
22 performed at Cr 2p, Y 3d and N 1s. In addition, the corresponding peaks were obtained  
23 at Nb 3d for niobium and Ta 4d for tantalum.  
24
- 25 ✓ Maximum nanohardness results for CrYN:Nb/Ta were determined as 21.4 GPa and 18.2  
26 GPa, respectively. The most effective parameter affecting hardness in both films is the  
27 pulse frequency.  
28
- 29 ✓ When the XRD peaks of CrYN:Nb/Ta thin films and the substrate are analyzed, there  
30 are intense cubic CrN and Cr<sub>2</sub>N peaks except for the main peaks coming from the  
31 substrate. NbN and TaN peaks were obtained in niobium and tantalum doped thin films,  
32 respectively. Yttrium is thought to be present in the structure as a substitutional atom of  
33 chromium.  
34

1 ✓ When the coating thicknesses and microstructure were examined, a dense and uniform  
2 structure was obtained in both composite thin films. The highest film thickness for  
3 niobium was observed in R3 at 2.80  $\mu\text{m}$  and the thinnest film thickness was observed  
4 in R7 at 0.72  $\mu\text{m}$ . Similar to the niobium doped film, the highest film thickness in the  
5 tantalum doped coating was found to be 2.26  $\mu\text{m}$  at R3 (Pulse frequency 100 kHz, duty  
6 cycle 85%, deposition pressure 0.35 Pa, CrY target current 2A). The influence of the  
7 magnetron sputtered-pulsed dc power supply on the dense and uniform film structure  
8 cannot be ignored.

9  
10 ✓ The corrosion resistance of the coatings is quite high compared to the substrate. The  
11 lowest  $I_{\text{corr}}$  values for tantalum were found as 3.7 nA in R6, 5 nA in R4 and 8.3 nA in  
12 R7. Tafel curves show that the  $I_{\text{corr}}$  value of the substrate (316L SS) is 1826 nA, while  
13 the corrosion current values of Nb-doped CrYN thin films are much lower. The  
14 corrosion resistance of Nb-doped films was examined and it was found that even the  
15 film with the lowest corrosion resistance had approximately three times more resistance  
16 than the substrate. The lowest  $I_{\text{corr}}$  values for niobium were found to be 4.4 nA in R1,  
17 10.6 nA in R8 and 10.9 nA in R3, respectively.

18  
19 ✓ In the SEM images after corrosion, the presence of pitting corrosion was observed  
20 similarly in both films. In niobium doped films, which are known to have lower  
21 corrosion resistance, the presence of both uniform and more intense and deep pitting  
22 corrosion was detected on the surface. When the positive effect of niobium and tantalum  
23 additives on corrosion is examined, it is seen that tantalum is a better corrosion barrier  
24 in these conditions.

25  
26 Considering all these features, it seems that CrYN:Nb/Ta coatings are promising candidates to  
27 be used as a thermal barrier coating.

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